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CIVIL LIABILITY OF SECURITIES UNDERWRITERS

Enforcing the Gatekeeping Function

Olia Skripova
Civil Liability of Securities Underwriters. Enforcing the Gatekeeping Function
Olia Skripova

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<td>AIM</td>
<td>Alternative investment market of the London Stock Exchange</td>
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<tr>
<td>FSMA</td>
<td>UK Financial Services and Markets Act of 2000</td>
</tr>
<tr>
<td>FSA</td>
<td>UK Financial Services Authority</td>
</tr>
<tr>
<td>GLO</td>
<td>Group Litigation Order</td>
</tr>
<tr>
<td>IPO</td>
<td>Initial Public Offering</td>
</tr>
<tr>
<td>LSE</td>
<td>London Stock Exchange</td>
</tr>
<tr>
<td>MIFID</td>
<td>EU Directive 2004/39/EC on Market in Financial Services</td>
</tr>
<tr>
<td>OFT</td>
<td>UK Office of Fair Trading</td>
</tr>
<tr>
<td>PSLRA</td>
<td>Private Securities Litigation Reform Act of 1995</td>
</tr>
<tr>
<td>SEC</td>
<td>Securities and Exchange Commission</td>
</tr>
<tr>
<td>SEO</td>
<td>Seasonal Equity Offering</td>
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