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CIVIL LIABILITY OF SECURITIES UNDERWRITERS

Enforcing the Gatekeeping Function

Olia Skripova
Civil Liability of Securities Underwriters. Enforcing the Gatekeeping Function
Olia Skripova

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## CONTENTS

*Table of Cases* ................................................................. xiii
*List of Tables* ................................................................. xv
*List of Abbreviations* ......................................................... xvii

### Introduction

1. Why It Matters? ............................................................. 1
2. Research Question ........................................................ 3
3. Relevance ......................................................................... 4
4. Methodology ..................................................................... 5
5. Scope of Research and Limitations ................................. 6
6. Structure of the Book ...................................................... 7

### PART I. FROM PRACTICE TO THEORY

#### Chapter 1. What Do Underwriters Do? ............................... 13

1. Where Do Underwriters Operate? ................................. 13
2. Underwriter Role in Securities Offerings ................... 15
   2.1. Pre-Contractual Phase ........................................ 15
   2.2. Syndication ....................................................... 15
   2.3. Prospectus and Due Diligence ............................ 16
   2.4. Pricing .............................................................. 16
   2.5. Allocation ........................................................ 20
   2.6. Underwriting .................................................... 21
   2.7. Listing on the Trading Platform ......................... 22
   2.8. Underwriting Fee ............................................. 23
3. Underwriter Role in Post-Offering Activities .................. 24
   3.1. Market Making .................................................. 24
   3.2. Price Discovery ................................................ 25
   3.3. Stabilisation ..................................................... 26
   3.4. Brokerage ....................................................... 29
   3.5. Profitability of Underwriter Aftermarket Activities .... 31
   3.6. Research Coverage .......................................... 31
   3.7. Other Post-Offering Services ............................. 33
Chapter 3. Imposition of Civil Liability for Gatekeeping: Impact on Other Functions of the Underwriter ........................................ 83

1. Underwriter’s Advisory Role ........................................ 83
   1.1. Underlying Problem ........................................ 83
   1.2. Impact of the Imposition of Liability ...................... 84
2. Sale Intermediation by Underwriters ................................. 85
   2.1. Underlying Problem ........................................ 85
   2.2. Impact of the Imposition of Liability ...................... 86
3. Price Discovery (Bookbuilding) by Underwriters .................... 87
   3.1. Underlying Problem ........................................ 87
   3.2. Impact of the Imposition of Liability ...................... 91
4. Underwriting Function of Underwriters ............................... 93
   4.1. Underlying Problem ........................................ 93
   4.2. Impact of Imposition of Liability .................... 94

PART II. THE LAW ON CIVIL LIABILITY

Chapter 4. The Most Important Elements of a Liability Regime ....... 99

1. Parties to a Dispute ........................................... 100
   1.1. Potential Defendants ...................................... 100
   1.2. Potential Plaintiffs ....................................... 101
2. Liability Standard ............................................... 102
3. Measure of Damages ............................................. 103
4. Procedural Rules ................................................ 103
   4.1. Cost-Sharing Rules ....................................... 104
   4.2. Types of Collective Proceedings ......................... 105
      4.2.1. Main Features of Joinder Proceedings ............... 105
      4.2.2. Main Features of Representative Proceedings ...... 106
      4.2.3. Other Types of Collective Proceedings .......... 106
      4.2.4. Comparison of Collective Proceedings .......... 106
   4.3. Choice of Initiator of the Claim ......................... 108
      4.3.1. Initiation by Any Member of the Class ............ 108
      4.3.2. Initiation by a Representative Organisation ...... 110
   4.4. Opt-In and Opt-Out ..................................... 111
   4.5. Burdens of Proof ....................................... 112
5. Nature of the Liability Regime .................................. 113

Chapter 5. Underwriters’ Civil Liability in the USA .................... 115

1. General Remarks .............................................. 115
2. Registered Prospectus Liability: Section 11 ....................... 116
## Contents

1. **General Remarks** .................................................. 149
2. **Underwriters’ Gatekeeping Duties and Liability within the EU Regime** ........................................... 150
   2.1. Requirement to Disclose Information .................................. 150
   2.2. Basis for Liability ..................................................... 150
   2.3. Possible Defendants .................................................... 151
   2.4. Beyond Prospectus Liability .......................................... 153
   2.5. Unfair Commercial Practices Directive .................................. 154
3. **Procedural Law in Europe** ........................................ 155

### Chapter 6. European Legal Framework

1. **General Remarks** .................................................. 149
2. **Underwriters’ Gatekeeping Duties and Liability within the EU Regime** ........................................... 150
   2.1. Requirement to Disclose Information .................................. 150
   2.2. Basis for Liability ..................................................... 150
   2.3. Possible Defendants .................................................... 151
   2.4. Beyond Prospectus Liability .......................................... 153
   2.5. Unfair Commercial Practices Directive .................................. 154
3. **Procedural Law in Europe** ........................................ 155

### Chapter 7. Underwriters’ Civil Liability in the Netherlands

   1.1. Requirement to Disclose Information .................................. 157
   1.2. Basis for Liability ..................................................... 157
   1.3. Potential Defendants .................................................... 159
   1.4. Potential Plaintiffs ..................................................... 160
   1.5. Liability Standard ..................................................... 161
1.6. Burdens of Proof .......................................................... 161
  1.6.1. Burdens of Proof at the Declaratory Judgment Stage 162
  1.6.2. Burdens of Proof at the Stage of the Award of Damages 163
1.7. Definition of Damages .................................................. 164

2. Beyond Prospectus Liability ............................................. 165

3. Procedural Rules in the Netherlands ................................ 166

4. Limitations of Liability .................................................. 170
  4.1. Disclaimer and Limitations ......................................... 170
  4.2. Indemnification and Contribution ................................ 171

5. Liability Threat Posed by the Dutch Legal System ............... 171
  5.1. Law on the Books .................................................... 171
  5.2. Law in Practice ...................................................... 176

Chapter 8. Underwriters’ Civil Liability in the UK ..................... 179
1. Prospectus Liability: Section 90 ..................................... 179
  1.1. Requirement to Disclose Information ............................ 179
  1.2. Basis for Liability ................................................... 179
  1.3. Potential Defendants ............................................... 180
  1.4. Potential Plaintiffs .................................................. 181
  1.5. Liability Standard ................................................... 181
  1.6. Burdens of Proof .................................................... 182
  1.7. Definition of Damages ............................................... 182

2. Prospectus Liability: Common Law Causes of Action ............. 183
  2.1. Tort of Fraudulent Misrepresentation ............................ 183
  2.2. Tort of Negligent Misrepresentation ............................. 184
  2.3. Contractual Liability ............................................... 185

3. Beyond Prospectus Liability ............................................. 186

4. Procedural Rules in the UK ............................................. 187

5. Limitation of Liability .................................................. 189
  5.1. Disclaimers and Limitations ...................................... 189
  5.2. Indemnification and Contribution ................................. 189

6. Liability Threat Posed by the British System ....................... 190
  6.1. Law on the Books .................................................... 190
    6.1.1. As Compared to the USA ...................................... 191
    6.1.2. As Compared to the Netherlands .............................. 191
    6.1.3. Predicting the Size of Settlements in the UK ........... 192
    6.1.4. Probability of Enforcement ................................ 192
  6.2. Law in Practice ...................................................... 193
PART III. POLICY IMPLICATIONS

Chapter 9. Proposal for Improvement of Underwriters’ Civil Liability Regimes in the USA and the Netherlands ........................................ 197

1. Underwriter Liability as a Tort ............................................. 197

2. Negligence vs Strict Liability ............................................. 198

2.1. Academic Debate on Negligence vs Strict Liability ........……. 198

2.2. Application of Shavell Framework to Underwriter Liability . . 201

2.2.1. Unilateral vs Bilateral Accident ..................................... 201

2.2.2. The Effect of Mistakes .................................................. 203

2.2.3. Level of Activity and Residual Liability Risks .................. 204

2.2.4. Problem of Frivolous Litigation .................................... 207

2.3. Liability Regimes and Low Settlement Size .......................... 209

3. Proposal for a Change ....................................................... 212

3.1. Switch to Strict Liability ................................................. 212

3.2. Solving the Problem of the Excessive Residual Liability Risk: The Cap on Damages .................................................. 212

3.3. Solving the Problem of Frivolous Litigation: The Shift of the Burden to Prove Loss Causation ............................................ 215

4. Application to the US Legal System ....................................... 218

5. Application to the Dutch Legal System ................................... 219

Chapter 10. The Curious Case of the UK ..................................... 223

1. No Private Enforcement ..................................................... 223

2. Alternative Enforcement Mechanisms ................................... 225

2.1. Overview of Historical Development: LSE and FSA ............ 225

2.2. Enforcement by the FSA in Practice ................................. 228

2.3. Enforcement by the LSE in Practice ................................. 229

2.4. Summing Up .............................................................. 229

3. Particularities of the UK Market ......................................... 230

4. Means to Increase Liability Threat in the UK ......................... 232

4.1. Is There a Need for Civil Liability in the UK? ..................... 232

4.2. Application of My Proposal to the UK Legal System ............ 233

Conclusions ................................................................. 235

1. Main Findings .............................................................. 235

1.1. Underwriters and their Main Functions ............................... 235

1.2. Positioning Civil Liability among other Enforcement Mechanisms .................................................. 236
Contents

1.3. Civil Liability in Selected Jurisdictions .............................. 237
1.4. The Proposal for a Change ........................................... 240
2. Limitations and Suggestions for Further Research ................. 241

Bibliography ........................................................................... 243
# Table of Cases

<table>
<thead>
<tr>
<th>Case</th>
<th>Citation</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Anixter v Home – Stake Production Co.</td>
<td>77 F.3d 1215 (10th Cir. 1996), n.d.</td>
<td></td>
</tr>
<tr>
<td>Barnes v Oosky, 373 F.2d 269, 272 (2nd Cir. 1967), n.d.</td>
<td></td>
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Table of Cases

Pollack v Laidlaw Holdings, Inc., n.d.
Sanders v John Nuveen & Co., Inc., F.2d 790 (7th Cir. 1977), n.d.
SEC v Dain Rauscher, 254 F. 3d 852 (9th Cir. 2001), n.d.
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Ziemba v Cascade International, Inc., 256 F. 3d. 1194 (11th Cir. 2001), n.d.
LIST OF TABLES

Table 1. Private securities litigation in the USA 2002–2009 ................. 144
Table 2. Private litigation in the USA 2002–2009 .......................... 146
Table 3. IPO activity ................................................................. 224
Table 4. Underwriter liability in the USA, the Netherlands and the UK . . . 239
## LIST OF ABBREVIATIONS

<table>
<thead>
<tr>
<th>Acronym</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>AIM</td>
<td>Alternative investment market of the London Stock Exchange</td>
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<tr>
<td>FSMA</td>
<td>UK Financial Services and Markets Act of 2000</td>
</tr>
<tr>
<td>FSA</td>
<td>UK Financial Services Authority</td>
</tr>
<tr>
<td>GLO</td>
<td>Group Litigation Order</td>
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<td>IPO</td>
<td>Initial Public Offering</td>
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<tr>
<td>LSE</td>
<td>London Stock Exchange</td>
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<tr>
<td>MIFID</td>
<td>EU Directive 2004/39/EC on Market in Financial Services</td>
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<tr>
<td>OFT</td>
<td>UK Office of Fair Trading</td>
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<tr>
<td>PSLRA</td>
<td>Private Securities Litigation Reform Act of 1995</td>
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<tr>
<td>SEC</td>
<td>Securities and Exchange Commission</td>
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<tr>
<td>SEO</td>
<td>Seasonal Equity Offering</td>
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